



**TRNT BOARD POLICY NO: 011**

**Date Introduced: 14 June 2011**

**Date Varied 5 July 2012**

## **LICENSING**

Thoroughbred Racing NT (“TRNT”) is the Principal Club for the purposes of the *Racing and Betting Act* (NT) (“the Act”).

Thoroughbred Racing NT is recognised as the Principal Racing Authority in the Northern Territory by the Australian Rules of Racing.

Australian Rule 7 (iii) (b) provides TRNT with the power to license persons who wish to participate in racing in the NT.

In addition to the Rules of Racing the Board has adopted criteria and included these in the "Licensing Policies" which is to be considered as part of any application for a license within the Northern Territory.

**AR.7.** A Principal Racing Authority shall:

(iii) in furtherance and not in limitation of all powers conferred on it or implied by these Rules, have power, in its discretion:-

(b) To license jockeys, trainers and others on such terms and conditions as it shall think fit, and at any time to suspend, vary or revoke any such license without giving any reason thereof..

Similar powers are contained in Section 44(2)(e) of the *Racing and Betting Act* (NT).

The following Local Rules apply to licensing within the NT:

## **TRAINERS**

**NT 69.** Applications on the prescribed form for Trainers License, Dual License, Permits to Train or Owner-Trainers Permit must be made annually to the Principal Racing Authority and must be accompanied by such fee as the Principal Racing Authority shall determine from time to time.

**NT 70.** All Licenses or Permits to Train shall expire on the thirtieth day of April each year.

## **JOCKEYS AND RIDERS**

**NT 79.** Every application for a License shall be made on the form prescribed for that purpose and shall be accompanied by such fee as shall from time to time be determined by the Principal Racing Authority.

(a) Each applicant shall furnish the Principal Racing Authority such information as the Principal Racing Authority may require, and will be required to furnish a medical history and examination record from an "Approved Medical Officer".

(b) All Licenses shall expire on the thirtieth day of April in each year.

(c) The Principal Racing Authority may refuse to grant any License or may at any time cancel any License before the termination of the year for which it was granted without giving any reason therefor.

(d) The Principal Racing Authority may impose such terms and conditions on any applicant as they think fit, may require him to ride work regularly for a period, and/or ride in barrier trials before considering any application for a License, or may impose any restrictions as to locality in which he may ride, or persons for whom he may ride, as they think fit.

## APPRENTICES

**NT 96.** (a) Any person holding a Trainer's License, Dual License, Permit to Train or Owner-Trainer's Permit issued by the Principal Racing Authority may apply to the Principal Racing Authority for approval to indenture an Apprentice.

(b) The Secretary of the Principal Racing Authority may apply through the Principal Racing Authority to the Northern Territory Training Authority for approval to indenture an Apprentice to the Club.

(c) Any such application shall be accompanied by a completed copy of the Articles of Apprenticeship in a form acceptable to the Principal Racing Authority or the Northern Territory Training Authority, a written certificate of a qualified medical practitioner that he has medically examined the Apprentice on a date not more than seven days prior to the date of the Articles and that the Apprentice is in all respects medically fit to perform his duties as an Apprentice and such references as to character or otherwise as the Club may require.

**NT 97.** The Principal Racing Authority may refuse to register any Articles and may at any time by written notice to the parties notify their intention to cancel any Articles if in their opinion either the Master or the Apprentice shall have failed to carry out his obligations there under or shall have committed any act or been guilty of any conduct as a result of which the Principal Racing Authority considers it undesirable for the apprenticeship to continue. In lieu of cancellation, the Principal Racing Authority may punish the offenders.

**NT 99.** A Permit granted to any Apprentice to ride in races may be issued subject to such terms and conditions as the Principal Racing Authority deems fit to impose and, without limiting the generality of the foregoing words, may be restricted as to its duration or locality. Any such Permit granted expires on the thirtieth day of April each year, and application for its renewal must be made on the prescribed form by the Master of the Apprentice.

**NT 100.** No Apprentice may be granted a Permit to ride in races until:

- (a) He has been indentured for at least three (3) months; and
- (b) He has ridden in at least ten (10) barrier trials to the satisfaction of the Stewards.

**NT 101.** A Permit granted to an Apprentice to ride in races may be withdrawn or suspended at any time by the Stewards or the Committee of the Principal Racing Authority.

## **STABLEHANDS**

**NT 104.** (a) Every person holding a Trainer's License, Permit to Train, Dual License or Owner-Trainer's Permit shall register with the Principal Racing Authority every person other than a Licensed Jockey or Apprentice, engaged by them in connection with the training or care of racehorses (to be called "employee").

(b) Every such application shall be on the prescribed form and be accompanied by a fee as prescribed by the Principal Racing Authority.

**NT 105.** The Principal Racing Authority may at its absolute discretion:

(a) Refuse to register such employee;

(b) Register such employee either unconditionally or upon such conditions, as the Principal Racing Authority deems fit to impose; or

(c) Revoke or cancel any registration at any time.

**NT 106.** On termination of any employee's engagement, the employer shall either;

(a) Register a transfer of the employment to another Trainer or person holding a Permit to Train; or

(b) Notify the Principal Racing Authority that such employment has been terminated where upon the name of the employee in question shall be removed from the Register and his registration shall be deemed to have ceased from the date of such termination.

(c) All registrations shall expire on the thirtieth day of April each year.

## BOOKMAKERS

**NT 108.** (a) No person shall be permitted to carry on or assist in carrying on the business of a Bookmaker at a race meeting held by any Racing Club unless he has been duly registered as a Bookmaker by the NT Licensing Commission and is the holder of a current permit to act as such issued by the Principal Racing Authority.

(c) No person shall be permitted to act as a Clerk to any person carrying on the business of a Bookmaker at a race meeting held by any Racing Club unless he has been duly licensed as a Bookmaker's Clerk by the NT Racing, Gaming and Licensing Commission and is the holder of a current permit to act as such issued by the Principal Racing Authority.

(d) Application for registration as a Bookmaker or a Bookmaker's Clerk must be made to the Principal Racing Authority annually by lodgement of the prescribed form accompanied by such fee as shall be determined by the Principal Racing Authority from time to time.

(e) Any Permit to act as a Bookmaker or Bookmaker's Clerk shall expire on the thirtieth day of April each year.

(f) The Principal Racing Authority may at any time remove from the Register of Bookmakers or Bookmakers' Clerks the name of any person convicted of an offence under the provisions of the *NT Racing and Betting Act* or otherwise found by the Principal Racing Authority to have engaged in illegal Bookmaking activities or to have been a defaulter in betting.

# LICENSING CRITERIA

## TRAINER

### NEW APPLICANT

- a) Demonstrate they can maintain a minimum of 8 horses in work within the Northern Territory;
- b) Have qualification (minimum) Certificate IV Trainer/Racing or be undertaking a course to obtain the Certificate IV Trainer/Racing, which must be completed within 6 months of the applicant's license being granted;
- c) Show they have adequate financial resources to carry on the full time business of Racehorse Trainer; and
- (d) Have suitable stabling and feed/tack rooms and facilities. These facilities must be independent of any other trainer and should provide a sufficient level of security. These facilities are to be inspected and approved by the stewards.

### THE HOLDER OF SUCH A LICENSE

- a) Must maintain a minimum of 8 horses in work within the Northern Territory;
- b) May seek permission to have an Unsupervised Stable Foreman;
- c) May seek permission to indenture an apprentice Jockey; and
- d) Maintain stabling and feed/tack rooms which are independent of any other trainer and must be approved by the stewards;

## **RESTRICTED PERMIT TO TRAIN**

### **NEW APPLICANTS**

- a) Demonstrate that within the first year they can achieve a minimum of 3 horses in work within the Northern Territory;
- b) Have qualification (minimum) Certificate IV Trainer/Racing or be undertaking a course to obtain the Certificate IV Training/Racing, which must be completed within 6 months of the applicant's license being granted;
- c) Show they have adequate financial resources to carry on at least a part time business of Racehorse Trainer; and
- d) Have suitable stabling and feed/tack rooms and facilities. These facilities must be independent of any other trainer and should provide a sufficient level of security. These facilities are to be inspected and approved by the stewards.

### **THE HOLDER OF SUCH A LICENSE**

- a) Must maintain a minimum of 3 horses in work within the Northern Territory.
- b) Will be permitted to have a maximum of 8 horses in work (unless owned wholly by themselves or their immediate family). They may seek permission for short periods to have a greater or lesser number in work.
- c) Not permitted to have a Stable Foreman other than for short periods (up to 1 month) except under special circumstances; ie: illness, travel interstate or racing carnival.
- d) Not permitted to have an Apprentice Jockey except in special circumstances, ie: family member or close associate of the family.
- e) Maintain stabling and feed/tack rooms which are independent of any other trainer and are approved by the stewards.

## **OWNER/TRAINER**

### **APPLICANT or HOLDER OF SUCH A LICENSE**

- a) May train horses owned solely or in partnership with their spouse.
- b) Must own at least 50% of any horse they train.
- c) Have qualification (minimum) Certificate III Advanced Stablehand/Racing or be undertaking a course to obtain the Certificate III Advanced Stablehand/Racing, which must be completed within the first 6 months of the applicant's license been granted.
- d) Not permitted to have a Stable Foreman other than for short periods (up to 1 month) except under special circumstances; ie: illness, travel interstate or racing carnival.
- e) Not Permitted to have an apprentice jockey except in special circumstances; ie: family member or close associate of the family
- f) Have suitable stabling and feed/tack room facilities. These facilities must be independent of any other trainer and should provide a sufficient level of security. These facilities are to be inspected and approved by the stewards



# **DUAL LICENSE HOLDER**

## **LICENSED TRAINER/JOCKEY**

### **NEW APPLICANTS**

- a) Demonstrate they can maintain a minimum of 8 horses in work within the Northern Territory.
- b) Have qualification (minimum) Certificate IV Trainer/Racing and Certificate IV Jockey.
- c) Must provide a medical certificate
- d) Should demonstrate that they will be able to ride at 57.0kg or lower
- e) Demonstrate that they intend to continue to ride in races on a regular basis and within the first year will achieve a minimum of 5 race rides per month.
- f) Show they have adequate financial resources to carry on the full time business as Racehorse Trainer.
- g) Demonstrate that they will derive all or the principal part of their income from racing.
- h) Have suitable stabling and feed/tack rooms and facilities. These facilities must be independent of any other trainer and should provide a sufficient level of security. These facilities are to be inspected and approved by the stewards.

### **THE HOLDER OF SUCH A LICENSE**

- a) Must maintain a minimum of 8 horses in work within the Northern Territory.
- b) Must provide a medical certificate annually.
- c) May seek permission to have an unsupervised Stable Foreman.
- d) Ride in races on a regular basis and have a minimum 5 race rides per month.
- e) May not be absent from the Northern Territory for the purpose of race riding or training racehorses in another state, territory or country for a period longer than one month in any year (1<sup>st</sup> July to 30<sup>th</sup> June).
- f) Derive all, or the principal part of their income from racing.
- g) If they have not ridden regularly during the season they will be licensed on 3 months probation. During that time they must demonstrate that they can and intend to ride regularly at or below 57.0 kg. If they fail to do so without a valid reason their Jockey's license will not be renewed at the expiration of the 3 months probation period.
- h) Maintain stabling and feed/tack rooms which are independent of any other trainer and must be approved by the stewards.

## **RESTRICTED PERMIT TO TRAIN/JOCKEY**

### **NEW APPLICANTS**

- a) Demonstrate that within the first year they can achieve a minimum of 3 horses in work within the Northern Territory.
- b) Have qualification (minimum) Certificate IV Trainer/Racing and Certificate IV Jockey or be undertaking to obtain those qualifications which must be completed within 6 months of the applicant's license being granted.
- c) Must provide a medical certificate.
- d) Must demonstrate that they will be able to ride at 57.0kg or lower.
- e) Demonstrate that they intend to continue to ride in races on a regular basis and within the first year will achieve a minimum of 5 race rides per month.
- f) Show they have financial resources to conduct at least a part time business of Racehorse Trainer.
- g) Have suitable stabling and feed/tack rooms and facilities. These facilities must be independent of any other trainer and should provide a sufficient level of security. These facilities are to be inspected and approved by the stewards.

### **THE HOLDER OF SUCH A LICENSE**

- a) Must maintain a minimum of 3 horses in work within the Northern Territory.
- b) Must provide a medical certificate annually
- c) If they have not ridden regularly during the season they will be licensed on 3 months probation. During that time they must demonstrate that they can and intend to ride regularly at or below 57.0 kg. If they fail to do so without a valid reason their license will not be renewed
- d) Will be permitted to have a maximum of 8 horses in work (unless permission is sought, in writing, and granted by the Principal Racing Authority).
- e) Ride in races on a regular basis and have a minimum 5 race rides per month.
- g) May not be absent from the Northern Territory for the purpose of race riding or training racehorses in another state, territory or country for a period longer than one month in any year (1<sup>st</sup> July to 30<sup>th</sup> June).
- h) Maintain stabling and feed/tack rooms which are independent of any other trainer and must be approved by he stewards.

## **TRAINING PARTNERSHIP**

a) Applicants applying for permission to Train in Partnership must hold a current NT Trainers License or NT Restricted Permit to Train.

If at the time of application to Train in Partnership one of the applicants does not hold such license a separate application may be lodged at that time.

b) The Training Partnership must train on behalf of a business entity. That business entity must be either a legal partnership or a company and have a registered ABN.

c) Trainers approved to Train in Partnership may not train any horse/s as an individual trainer or in any other Training Partnership.

d) All stakes payments payable to the business entity will be paid to one bank account as nominated and approved by those trainers. If those details are to alter all trainers in the Training Partnership must agree in writing.

e) Applicants for permission to Train in Partnership should ensure they are fully conversant with AR 80G and especially AR 80G (6). If there is any doubt as to the intent of AR 80G applicants are advised to seek legal advice.

f) Persons training as a Training partnership must have suitable stabling and feed/tack room facilities. They must be independent of any other trainer and should have a sufficient level of security. These facilities are to be inspected and approved by the stewards.

## **JOCKEY**

### **NEW APPLICANTS**

- a) Have qualification (minimum) Certificate IV Jockey or be undertaking a course to obtain the Certificate IV Jockey, which must be completed within 6 months of the applicant's license being granted;
- b) Must provide a medical certificate; and.
- c) Should demonstrate that they will be able to ride at 57.0kg or lower.

### **THE HOLDER OF SUCH A LICENSE**

- a) Must provide a medical certificate annually;
- b) Have at least 10 rides per season;
- c) Ride track work on a regular basis;and
- d) If they have not ridden regularly during the season they will be licensed for a 3 months probation period. During that time they must demonstrate that they can and intend to ride regularly at or below 57.0 kg. If they fail to do so without a valid reason their license will not be renewed beyond the 3 month period.

## **TRACKRIDER**

Only persons who hold a Jockey's license, trackriders permit or are visiting from interstate where they are licensed to ride trackwork will be permitted to ride trackwork.

## **MEDICAL CERTIFICATES**

Any person who is applying to hold a Jockey's license or trackriders permit must provide a National Medical certificate. Such medical must be completed;

a) In the case of an NT resident by an AMO at the time of annual license renewal or when applying to ride trackwork.

b) In the case of a visiting trainer or trackrider, they must have completed a National Medical within the previous 12 months. If no such medical is available a National Medical certificate from an NT AMO must be provided prior to a trackriders permit being granted.

## **FOREMAN**

Trainers who are regularly absent from their training establishment would be permitted to have an Foreman. The Trainer's regular absence could be due to work commitment or in cases where a trainer has stables in more than one area.

The Foreman will be able to carry on the normal running of the stable unsupervised in the Trainer's absence and will not need to provide written authority from the Trainer when they are absent.

The Foreman will undertake many of the roles of the Trainer and will be required to have at the outset Certificate III accreditation and will be required to gain Certificate IV accreditation within the first 12 season of holding such a license.